



Report 2020/21-13

January 20, 2021

Honorable Mayor and Members of the City Council:

Activity highlights for October through December 2020:

- The Office of the City Auditor issued the *Audit of the City's Green Efforts*.
- The Office of the City Auditor issued the *2020 Audit of City Employees' Workforce Diversity and Salary Trends*.
- The Office of the City Auditor issued the *2020 Diversity, Equity, and Inclusion Survey Results*.
- The Office of the City Auditor issued the *Audit of the Cannabis Storefront Dispensary Permitting Process*.
- The Office of the City Auditor issued the *Contract Compliance Audits of the Roberts Family Development Center and Downtown Streets Team, Inc.*
- The Office of the City Auditor continues to update the *Regulatory and Internal Control Framework for the City's COVID-19 Response*.
- The Office of the City Auditor issued the *FY2020/21 Cannabis Work Plan*.
- The Office of the City Auditor issued the Quarterly Activity Report for the July 2020 to September 2020 period.
- The Office of the City Auditor issued the Whistleblower Hotline Activity Report - April 2020 through September 2020.
- The Office of the City Auditor updated the online Diversity Dashboard to include 2020 employee data.
- The Office of the City Auditor investigated a number of whistleblower allegations.
- The Office of the City Auditor continues to partner with Missionmark, Inc. to develop audit software that will streamline audit processes.

Status of the City Auditor's FY 2020/21 Audit Plan as of December 2020

	Preliminary Survey	Risk Assessment	Fieldwork	Report Writing	Report Issuance Date
Assignments Completed					
Vendor Audit of the Roberts Family Development Center	Completed	Completed	Completed	Completed	Oct-20
Vendor Audit of the Downtown Streets Team, Inc.	Completed	Completed	Completed	Completed	Oct-20
2020 Audit of City Employees' Workforce Diversity and Salary Trends	Completed	Completed	Completed	Completed	Nov-20
2020 Diversity, Equity, and Inclusion Survey Results	Completed	Completed	Completed	Completed	Nov-20
Audit of Cannabis Storefront Dispensary Permitting	Completed	Completed	Completed	Completed	Nov-20
FY2020/21 Cannabis Work Plan	Completed	Completed	Completed	Completed	Nov-20
Audit of the City's Green Efforts	Completed	Completed	Completed	Completed	Dec-20
Assignments in Progress					
Assessments of Revenues and Expenses Associated with the City's COVID-19 Response - Report No. 2	Completed	Completed	Completed	In Progress	
2021 City of Sacramento Community Survey	N/A	N/A	In Progress		
Audit of the Cannabis Code Enforcement Unit	In Progress				
Cannabis Compliance Continuous Monitoring	In Progress				
Audit of Fire Department EMS	In Progress				
Audit of the Police Department's Property and Evidence Division	In Progress				
Review of the Sacramento Community Police Review Commission	In Progress				
Vendor Audit of Wide Open Walls and David Sobon Events	In Progress				
Assignments on Hold					
An Audit of the CDD, Code Enforcement Division	Completed	Completed	On Hold		
An Audit of the Animal Care Services Division	On Hold				
An Audit of the City's Financial Condition	On Hold				
Assignments not yet Started					
An Audit of Recycling and Solid Waste	Not Started				
An Audit of the IT Department	Not Started				
An Audit of PW Maintenance Services Division	Not Started				
An Audit of PD Body Cameras	Not Started				
An Audit of YPCE's Park Operations	Not Started				

On behalf of the Auditor’s Office, I would like to express my appreciation to the City staff for their cooperation and assistance during our reviews.

Respectfully submitted,
Jorge Oseguera